

2877

Docket No. 52478-0500

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Kenji Mukai et al.

Serial No.: 09/880,612

Filed: 06/13/2001

For: METHOD OF EVALUATING WHITENESS,  
METHOD OF EVALUATION COMPARATIVE  
WHITENESS, LIGHT SOURCE AND  
LUMINAIRE

Examiner: H.Q. Pham

Group Art Unit: 2877

January 7, 2004

Irvine, California 92614

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
PO BOX 1450  
Alexandria, VA 22313-1459

Dear Sir:

In an attempt to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97 and 1.98, applicant wishes to bring to the attention of the U.S. Patent Office the following references, which was found during the prosecution of a co-pending European Patent Application:

U.S. Patent No. 5,124,390  
U.S. Patent No. 5,372,779  
European Patent Application No. 0 595 527  
European Patent Application No. 0 993 022  
European Patent Application No. 0 945 894

MacCormack et al., NEW WHITE GOLD ALLOYS - THEIR  
DEVELOPMENT ON THE BASIS OF QUANTITATIVE  
COLOUR ASSESSMENT, Gold Bull Jan. 1981, vol. 14, no. 1  
pages 19-24

Ganz, E., WHITENESS FORMULAS: A SELECTION, Applied  
Optics, 1 April 1979, USA, vol. 18, no. 7 pages 1073-1078



THE CIE 1997 INTERIM COLOUR APPEARANCE MODEL, CIE,  
April 1998, Retrieved from the Internet [www.colour.org/tc8-01](http://www.colour.org/tc8-01),  
Abstract, page 10

Copies of these references and a form PTO-A820 are attached.

The undersigned attorney hereby certifies that each item contained in the Information Disclosure Statement listed above was cited in a communication from a foreign patent office in a counterpart international application not more than three months prior to filing this statement.

Additionally, to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97 and 1.98, applicant wishes to bring to the attention of the U.S. Patent Office the following reference:


Patent Abstracts of Japan Publication No. 61134636

A copy of this reference is enclosed and also listed on the form PTO-A820.

If the Examiner believes that a telephone conference would help further the prosecution of this case, he is respectfully requested to contact the undersigned attorney at the listed telephone number.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, PO Box 1450,  
Alexandria, VA 22313-1459,  
on January 7, 2004

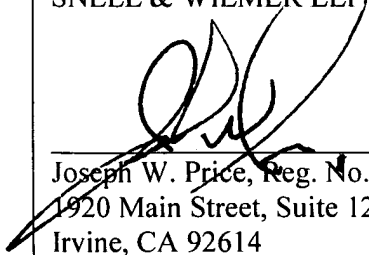
by: James Lee

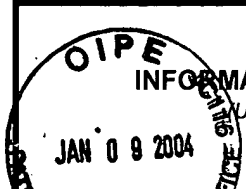
  
Signature

Date of Signature: January 7, 2004

Very truly yours,

SNELL & WILMER LLP

  
Joseph W. Price, Reg. No. 25,124  
1920 Main Street, Suite 1200  
Irvine, CA 92614  
949/253-4920

 <p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>	ATTY DOCKET NO. <b>52478-0500</b>	SERIAL NO. <b>09/880,612</b>
	<b>Kenji Mukai</b>	
	FILING <b>06/13/2001</b>	GROUP <b>2877</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,124,390	6/23/92	Miller et al.			
	5,372,779	12/13/94	Reti			

**FOREIGN PATENT DOCUMENTS**


		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		0 595 527	19/10/93	European			✓	
		0 993 022	25/09/99	European			✓	
		0 945 894	24/03/99	European			✓	
		61134636	21/06/86	Patent Abstracts of Japan			✓	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

			MacCormack et al., NEW WHITE GOLD ALLOYS - THEIR DEVELOPMENT ON THE BASIS OF QUANTITATIVE COLOUR ASSESSMENT, Gold Bull Jan. 1981, vol. 14, no. 1 pages 19-24
			Ganz, E., WHITENESS FORMULAS: A SELECTION, Applied Optics, 1 April 1979, USA, vol. 18, no. 7 pages 1073-1078

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<div style="text-align: center;">  <p><b>OIP INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	ATTY DOCKET NO. <b>52478-0500</b>	SERIAL NO. <b>09/880,612</b>
	<b>Kenji Mukai</b>	
	FILING <b>06/13/2001</b>	GROUP <b>2877</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

			THE CIE 1997 INTERIM COLOUR APPEARANCE MODEL, CIE, April 1998, Retrieved from the Internet <a href="http://www.colour.org/tc8-01">www.colour.org/tc8-01</a> , Abstract, page 10

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.